



#4  
ID  
YV/2/01  
PATENT

Attorney Docket No.: MLNR-08001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
MAY 17 2001  
Technology Center 2600

In re Application of:	)	Group Art Unit:	2681
Gwilym Luff	)	Examiner:	
Serial No.: 09/721,367	)	<u>INFORMATION DISCLOSURE</u>	
Filed: November 22, 2000	)	<u>STATEMENT</u>	
For: <b>FM DEMODULATOR FOR A LOW</b>	)	260 Sheridan Avenue, Suite 420	
<b>IF RECEIVER</b>	)	Palo Alto, California 94306	
	)	(650)833-0160	

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed below, a copy attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

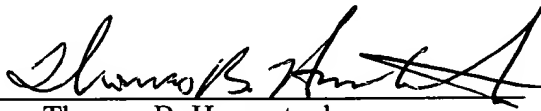
Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 4,141,209;
- U.S. Patent No. 4,350,957;
- U.S. Patent No. 5,245,565;
- U.S. Patent No. 5,936,474;
- European Publication No. 0 344 852 A1;
- European Publication No. 0 576 082 A1;
- European Publication No. 0 660 504 A1;
- European Publication No. 0 723 335 A1; and
- European Publication No. 0 948 129 A1.

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 5-10-01

By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a)).  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

- 2 -

HAVERSTOCK & OWENS LLP.

5-10-01 by 



PATENT  
Attorney Docket No.: MLNR-08001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gwilym Luff

Serial No.: 09/721,367

Filed: November 22, 2000

For: **FM DEMODULATOR FOR A LOW  
IF RECEIVER**

) Group Art Unit:

) Examiner:

) **CERTIFICATION FOR INFORMATION**  
) **DISCLOSURE STATEMENT UNDER 37**  
) **CFR § 1.97(e)**

) 260 Sheridan Avenue, Suite 420  
) Palo Alto, California 94306  
) (650)833-0160

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This certification is being made for the Information Disclosure Statement accompanying this certification.

#### **Certification**

I hereby certify that the attached nine (9) references contained in this information disclosure statement were cited either within one of the following International Search Reports (ISR) mailed February 15, 2001, March 2, 2001 and/or May 2, 2001 . **Accordingly, the references contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).**

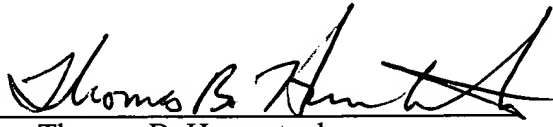
RECEIVED  
MAY 17 2001  
Technology Center 2600

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 5-10-01

By:   
Thomas B. Haverstock  
Reg. No.: 32,571

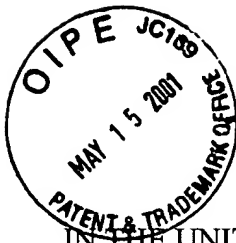
Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))  
I hereby certify that this paper (along with any papers referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

- 2 -

HAVERSTOCK & OWENS LLP

5-10-01 



Attorney Docket No.: PATENT  
MLNR-08001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 2681  
Gwilym Luff )  
Serial No.: 09/721,367 )  
Filed: November 22, 2000 )  
For: **FM DEMODULATOR FOR A LOW** ) **TRANSMITTAL LETTER**  
**IF RECEIVER** ) 260 Sheridan Avenue, Suite 420  
Palo Alto, CA 94306  
(650) 833-0160

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed please find a Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 5/10/01

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP.

Date: 5-10-01 By: Cynthia L. Gray